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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/684,218	10/06/2000	Robert J. Jones	5259-04800

CONLEY, ROSE & TAYON
A PROFESSIONAL CORPORATION
THE CHASE BUILDING
700 LAVACA, SUITE 800
AUSTIN, TX 78701-3102

FORMALITIES LETTER



OC000000005608007

Date Mailed: 12/08/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1302.
 - \$1062 for 59 total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2142.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

02/15/2001 BNGUYEN1 00000115 501505 09684218
01 FC:201 355.00 CH
02 FC:203 531.00 CH
03 FC:202 120.00 CH
04 FC:205 65.00 CH

PART 2 - COPY TO BE RETURNED WITH RESPONSE



SECTOR
\$

PATENT
5259-04800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

McBride, et al.

Serial No.: 09/684,218

Filed: October 6, 2000

For: ADJUSTABLE TRANSVERSE
CONNECTOR

§ Examiner: Unknown
§
§ Group Art Unit: 3731
§
§ Atty. Docket. No.: 5259-04800/EBM
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CERTIFICATE OF MAILING
37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Attn: BOX MISSING PARTS, Washington, D.C. 20231, on the date indicated below.

2-8-01
Date

Elda Gonzalez
Elda Gonzalez

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -
FILING DATE GRANTED, MAILED DECEMBER 8, 2000

ATTN: Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant encloses the following in response to the Notice to File Missing Parts of

Application - Filing Date Granted:

- (1) Copy of Form PTO-1533, Notice to File Missing Parts - Filing Date Granted (2 page);
- (2) Originally executed Election Under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney (2 pages);
- (3) Form PTO-1595 Assignment Recordation Cover Sheet (1 page);
- (4) Originally executed Assignment (2 pages);
- (5) Originally executed Declaration (3 pages);

- (6) Originally executed Verified Statement (Declaration) Claiming Small Entity Status (37 CFR §§ 1.9(f) and 1.27(c)) – Small Business Concern (2 pages);
- (7) Fee Authorization in the amount of \$1,111.00 to cover the filing fee for a small entity as follows (2 pages):
 - \$ 355.00 -- basic filing fee;
 - \$ 651.00 -- surcharge for excess claims;
 - \$ 65.00 -- surcharge for late filing of declaration and fee;
 - \$ 40.00 -- assignment recordation fee; and
- (8) A return postcard.

If any extension of time is required, Applicant hereby respectfully requests the appropriate extension of time. If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 50-1505/5259-04800/EBM.

Respectfully submitted,



David W. Quimby
Reg. No. 39,338

Attorney for Applicant

CONLEY, ROSE & TAYON, P.C.
P.O. BOX 398
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(512) 476-1400 (voice)
(512) 703-1250 (facsimile)

Date: Feb. 8, 2001

McBride, et al.
09/684,218

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the above mentioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 501623/5259-04800.

Respectfully submitted,



David W. Quimby
Reg. No. 39,338

Attorney for Applicant

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Date: Feb. 8, 2001